



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/314,052	05/18/1999	DOUGLAS E. OTT	15006.0009	4618

7590 01/14/2004

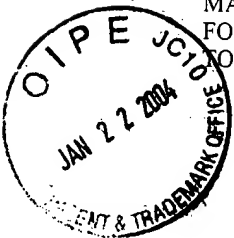
D. EDWARD DOLGORUKOV  
MARSHALL AND MELHORN, LLC  
FOUR SEAGATE- 8TH FLOOR  
TOLEDO, OH 43604

EXAMINER  
THOMPSON, MICHAEL M

ART UNIT  
3763

PAPER NUMBER

DATE MAILED: 01/14/2004



Please find below and/or attached an Office communication concerning this application or proceeding.

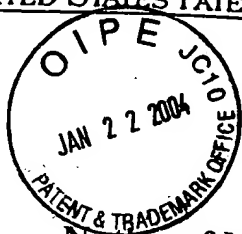
RECEIVED  
JAN 28 2004  
TECHNOLOGY CENTER R3700

RECEIVED  
JAN 16 2004  
MARSHALL & MELHORN



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20503



Paper No.

Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment document filed on 1-2-04 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on June 30, 2003 (see 68 Fed. Reg. 38611, Jun. 30, 2003). In order for the amendment document to be compliant, correction of the following omission(s) or provision is required. Only the section (1.121(h)) of the amendment document containing the omission or non-compliant provision must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted.

THE FOLLOWING CHECKED (X) ELEMENTS(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other \_\_\_\_\_
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_
- ☐ 3. Amendments to the drawings: \_\_\_\_\_
- ☒ 4. Amendments to the claims:
- ☐ A. A complete listing of all of the claims is not present.
  - ☒ B. The listing of claims does not include the text of all claims (incl. withdrawn claims)
  - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each cannot be identified.
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☐ E. Other: \_\_\_\_\_

RECEIVED

JAN 28 2004

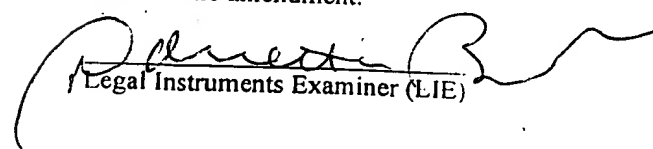
TECHNOLOGY CENTER R3700

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION**, and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSION OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. The period response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliance status of the amendment.

  
Legal Instruments Examiner (LIE)

July 22, 2003 (rev.)



SERIAL NO. 09/314052 FILED 5/1/99 CASE NO. 1-15372

PATENT NO. \_\_\_\_\_ ISSUED \_\_\_\_\_

APPLICANT(S): Douglas E. Ott, et al

PAPERS FILED HEREWITH: \_\_\_\_\_

☐ FEES \$ \_\_\_\_\_

☐ TM-APPLN/EXHIBITS

☐ PATENT APPLN

☐ PROV ☐ UTILITY

☐ CONT. ☐ CIP ☐ CPA

☐ PCT ☐ DIV

☐ 35 USC 371 (DO/EO/US)

☒ AMENDMENT Supplemental

☐ PRELIMINARY

☐ LICENSE-FOREIGN FILING

☐ EXPRESS MAIL CERTIFICATE

☐ REQUEST FOR CORRECTED FILING RECEIPT

☒ OTHER Supplemental Response to Notice of Non-Compliant Amendment

☐ ASSIGNMENT/COVER SHEET

☐ LETTER TO DRAFTSMAN

☐ PRIORITY DOCUMENT(S)

☐ EXTENSION OF TIME

☐ 1mo ☐ 2mo ☐ 3mo ☐ 4mo ☐ 5mo

☐ ISSUE FEE

☐ ADVANCED COPIES

☐ MAINTENANCE FEE

☐ NOTICE OF APPEAL

☐ CERTIFICATE OF MAILING

☐ IDS & PRIOR ART

THE PATENT OFFICE MAIL ROOM DATE STAMP HEREON  
ACKNOWLEDGES RECEIPT OF THE ABOVE PAPERS

RECEIVED

JAN 28 2004

TECHNOLOGY CENTER R3706